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# 中国七大水系淡水沉积物中林丹(γ-HCH)的生态风 险评估

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摘要:林丹(γ-HCH)作为曾广泛应用的有机氯农药,自 2000 年在中国停止生产以来,全国范围内环境介质中仍广泛检出,对生物体及自然环境存在潜在危害。在收集 γ-HCH 的沉积物毒性数据基础上,通过物种敏感度分布(Species Sensitivity Distributions, SSD)曲线拟合的方法获得其沉积物质量基准。选取 7 种常用模型进行拟合,通过比较,最终采用 S-Logistic 模型拟合 γ-HCH 急性毒性曲线,得到急性基准值CMC<sub>sed</sub>=0.00530 μg·g<sup>-1</sup>;采用 S-Gompertz 模型拟合 γ-HCH 慢性毒性曲线,得到慢性基准 值CCC<sub>sed</sub>=0.00106 μg·g<sup>-1</sup>。我国七大水系 68.2% 的水体沉积物中 γ-HCH 的残留浓度均低于其CCC<sub>sed</sub>,说明其风险较低。但 是,在海河和辽河流域某些点位的残留超标,需要引起足够的重视。所获得的沉积物基准值对评估沉积物中 γ-HCH 的生态风 险和环境修复具有重要指导意义。

关键词: γ-HCH; 物种敏感度分布; 沉积物质量基准; 相平衡分配法
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# Ecological Risk Assessment of $\gamma$ -HCH for Freshwater Sediment of Seven Major River Systems in China

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**Abstract**: As one of the widely used organochlorine pesticides, lindane ( $\gamma$ -HCH) has been banned since 2000, but still can be detected in the environment throughout China and may pose potential adverse effects to organisms and the environment. In this study, toxicity data of  $\gamma$ -HCH in sediment were collected and grouped. Then, its sediment quality criterion were derived by fitting the data using Species Sensitivity Distribution (SSD) method. Among the seven commonly used SSD models, S-Logistic and S-Gompertz models fitted the acute and chronic toxicity curves the best, respectively. Developed acute sediment quality criteria was CMC<sub>sed</sub> = 0.00530  $\mu$ g·g<sup>-1</sup>, and chronic sediment quality criteria was CCC<sub>sed</sub> = 0.00106  $\mu$ g·g<sup>-1</sup>. These sediment quality criteria provided scientific guidance for the assessment on ecological risks of  $\gamma$ -HCH and environment remediation.

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Keywords: Y-HCH; SSD; sediment quality criteria; equilibrium partitioning approach

林丹(lindane, >90%的γ-HCH)是一种应用非常 广泛的有机氯农药,具有一定的疏水性(log K<sub>ow</sub> = 3.7 ± 0.5)<sup>[1]</sup>,在环境样品,尤其是土壤、沉积物样品 中广泛检出<sup>[2-5]</sup>,并可通过食物链富集<sup>[6-7]</sup>,最终对生 态环境造成危害。虽然我国于 2000 年停止林丹生 产<sup>[8]</sup>,但γ-HCH 仍在水体环境中频繁检出<sup>[9-11]</sup>。沉 积物作为水生态系统的重要组成部分,是污染物的 汇和源,对水环境起着重要的调节作用<sup>[12]</sup>。

相对于水质基准,世界范围内的沉积物质量基 准(Sediment Quality Criteria, SQC)研究起步较晚,于 20世纪80年代初期在北美开始[13-14],随后在欧洲、 澳大利亚等国家和地区陆续开展,但目前被广泛认 可的沉积物质量基准研究方法主要集中于北美地 区<sup>[15-16]</sup>。我国的沉积物质量基准尚处于起步阶段, 且主要集中在重金属基准值研究[17-19],有机污染物 基准值十分缺乏。现阶段的沉积物质量基准研究主 要是以生物效应数据库和相平衡分配为基础[20],如 利用生物效应数据库的效应范围法(Effect Range Approach, ERA)、效应水平法(Effect Level Approach, ELA)等经验型方法,其区别在于生物数据库的适用 范围及数理统计方法的不同,再如利用相平衡分配 理论的相平衡分配法(Equilibrium Partitioning Approach, EqPA)、组织残留法(Tissue Residue Approach, TRA)等理论型方法<sup>[21]</sup>。

物种敏感度分布法(Species Sensitivity Distributions, SSD)可以看作是一种基于生物效应数据库的 基准研究方法,于20世纪70年代末被提出,并逐渐 应用于推导水质基准[22-23]。相较于其他基准研究方 法,SSD 利用不同生物对污染物的敏感性差异建立 生物毒性效应曲线,进而得到生态风险阈值 HC,来 进行基准计算。HC<sub>p</sub>是为保护(100-p)%的物种所允 许的最大环境许可浓度值,p的取值需考虑环境保 护需求、经济发展以及统计科学性等各方面的因素, 通常 p 取值为 5<sup>[24-25]</sup>, 即能够保护某环境中 95% 的 生物。国际上 SSD 通常采用"三门八科"的生物筛 选原则,我国提出"三门六科",覆盖生物种类广泛, 并且适用于多种类型的环境条件和污染物,随着数 据库的不断更新和扩大,基准值可以更新并更加准 确<sup>[26-27]</sup>。目前 SSD 法主要集中在水质基准研究,并 且已经得到了普遍认可和比较广泛的应用<sup>[28-30]</sup>,但 是尚没有应用在沉积物质量基准推导方面。本文拟 将 SSD 应用于 γ-HCH 的沉积物质量基准研究,建 立沉积物-物种敏感度分布曲线(SSD<sub>sed</sub>),最终推导 γ-HCH 沉积物急性基准值 CMC<sub>sed</sub>、慢性基准值 CCC<sub>sed</sub>, 为保护我国淡水生态环境安全提供科学依据。

### 1 研究方法(Research methods)

1.1 已有毒性数据搜集及筛选

沉积物毒性数据来源于本课题组实测值及目前 已发表的相关文献,共搜集直接沉积物毒性数据5 个。由于沉积物毒性数据量较缺乏,本文搜集了美 国国家环保局(US EPA) ECOTOX 水生生物效应数 据库(http://cfpub.epa.gov/ecotox/)中的淡水水生生物 毒性数据,并通过相平衡分配法将其转化为沉积物 毒性数据:经搜集并筛选得到林丹急性生物毒性效 应数据 66 个,慢性数据 15 个。

筛选原则为:①选取我国本土及引入我国并稳 定繁殖的淡水底栖生物毒性数据,生物区系需最小 满足"三门六科"[24],鱼类需满足栖息于水体中下层 的条件;②剔除未考虑质量控制、试验条件不合理等 有疑点的试验数据,如是否为淡水环境等,优先选取 动态试验数据;③选择与生物生长、死亡、繁殖等能 反映生物有机体生存状况的毒性效应终点;④急性 毒性数据选择96h及96h内标准试验周期数据,若 同一生物存在 96 h 内多个试验周期数据,选取试验 周期长的数据: ⑤慢性毒性数据优先选取 14 d 及以 上的 NOEC 或 LOEC 值,若没有 NOEC 或 LOEC 值,也可以用合理的14 d 以上的 ECs。值和 LCs。值代 替;⑥若同一物种存在多个生命阶段毒性数据,在数 据条件均合理的情况下,选择物种最敏感生命阶段 数据:⑦当某一相同的测试终点有多个合理的毒性 数据时,取其几何平均值;⑧数据单位统一换算为 µg•g<sup>-1</sup>(干重)。

1.2 毒性数据处理

1.2.1 淡水水生生物毒性数据向沉积物毒性数据转化 当间隙水中污染物浓度达到 C<sub>water</sub>时,可对生物

产生某种效应,此时对应的沉积物毒性效应浓度  $C_{sed}$  为<sup>[31]</sup>:

$$C_{\rm sed}(\mu g \cdot g^{-1}) = \frac{K_{\rm oc} \times f_{\rm oc} \times C_{\rm water}(\mu g \cdot L^{-1})}{1000}$$

式中: C<sub>sed</sub>为淡水水体污染物浓度转化后对应的沉积物毒性效应浓度; C<sub>water</sub> 为淡水水体中污染物的生

物毒性效应浓度; K<sub>oc</sub>为固相有机碳分配系数,即污染物在沉积物有机碳和水相中的浓度比,采用本课题组实测值 3.04<sup>[32]</sup>; f<sub>oc</sub>为沉积物中有机碳质量分数,通常采用 1%<sup>[33]</sup>。

1.2.2 SSD 曲线拟合

将所有搜集到的沉积物生物毒性效应数据进行 汇总,并按照浓度由小到大进行排序,对数据进行编 号,计算不同生物效应数据所占的累积百分比,即 p =数据编号/(数据总和+1)×100%,得到沉积物毒性 效应数据排序表。

设定横坐标为淡水沉积物生物毒性浓度对数 值,纵坐标为受影响物种比例;选用 S-logistic(逻辑 斯蒂模型)、S-weibull(韦布尔模型)、S-Gompertz(龚珀 资模型)、exponential growth(指数增长模型)、Gaussian(高斯模型)、Logarithm(对数模型)、Lorentzian 等 7 种常用模型,采用 origin 8.0 软件进行 SSD 曲线模 拟<sup>[34-36]</sup>,并筛选得出拟合最优的 SSD 模型。

1.2.3 基准值计算

观察不同模型的拟合效果,在 P 值相同的情况

下,选用  $R^2$ 最高的模型进行 HC<sub>5</sub>计算。急性基准值 推导参考美国在短期水质基准制定中采用最终急性 值(Final Acute Value, FAV)除以安全系数 2 得到 CMC 的方法,即CMC<sub>sed</sub> =  $\frac{\text{HC}_{5-acute}}{2}$ ;由于拟合曲线选 用的慢性数据大部分为 NOEC,慢性基准值推导参 考最终慢性值(Final Chronic Value, FCV)直接作为 CCC 使用的方法,即CCC<sub>sed</sub> = HC<sub>5-chronic</sub><sup>[37]</sup>

## 2 结果与讨论(Results and discussion)

2.1 SSD 模型拟合结果

应用 origin 9.0 对急慢性毒性数据进行不同模型的拟合,得出最优模型对应的参数如表1 所示。

S-Logistic 模型对  $\gamma$ -HCH 沉积物急性毒性数据 拟合效果最佳, 拟合曲线如图 1a 所示, HC<sub>5-acute</sub> = 0.0106  $\mu$ g·g<sup>-1</sup>, CMC<sub>sed</sub> =  $\frac{\text{HC}_{5-acute}}{2}$ =0.00530  $\mu$ g·g<sup>-1</sup>; S-Gompertz 模型对  $\gamma$ -HCH 沉积物慢性毒性数据拟合 效果最佳, 拟合曲线如图 1b 所示, CCC<sub>sed</sub> = HC<sub>5-chronic</sub> = 0.00106  $\mu$ g·g<sup>-1</sup>。



#### 图 1 模型拟合 γ-HCH 急慢性毒性物种敏感度分布(SSD)曲线

注:a.S-Logistic; b.S-Gompertz。

Fig. 1 Models for fitting SSD curve with acute and chronic toxicity data of γ-HCH Note: a.S-Logistic; b.S-Gompertz.

表 1	最优	SSI	)模型	<u></u> 対γ-	HCH 毒性	数据拟	合参	数
		c	.1	. •	1.000	1 1	c	TTO

Table 1	Fitting parameters for the optim	al SSD models of $\gamma$ -HCH toxicity data
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急性毒性模型	拟合公式	参数	慢性毒性模型	拟合公式	参数
Model for the acute toxicity	Fitting formulas	Parameters	Model for the chronic toxicity	Fitting formulas	Parameters
		a=90.033			a=112.157
		xc=-0.357	S-Gompertz		xc = -0.882
S-Logistic	$y = \frac{a}{1 + e^{-k(x-xc)}}$	k=1.752		$y = a \times e^{-e^{-k(x-xc)}}$	<i>k</i> =0.542
	1 TC	$R^2 = 0.98615$			$R^2 = 0.9666$
		<i>P</i> <0.0001			<i>P</i> <0.0001

# 2.2 与世界其他地区基准值比较

目前,国际上推导并应用于实践的主要基准值, 如表 2 所示。可以看出,本文推导的 γ-HCH 慢性基 准值 CCC<sub>sed</sub> 和急性基准值 CMC<sub>sed</sub> 均略高于其他国 家,但基本都在一个数量级范围之内,是可以比较 的。与其他国家发布的基准值产生差距的原因可能 有以下几个方面:①推导与计算方法的差异造成基 准值不同,如 PEL、ISQG 等值是利用生物效应数据 库计算得到的基准值,ESB 是利用相平衡法推导出 的理论基准值,而本文推导的 CCC<sub>sed</sub>、CMC<sub>sed</sub> 值则 是采用物种敏感度分布曲线对毒性数据进行模型拟 合,然后根据推导基准值所需的生物效应累计百分 比计算得出;②不同地区间的物种区系差异也是造 成基准值差异的主要原因,物种的选择会对 SSD 的 拟合结果产生直接影响;③本文推导的基准值也存 在一定的不确定性,由于有一部分数据是通过相平 衡转化的水体毒性效应数据,该类数据未考虑底栖 生物对沉积物的摄食、直接接触等过程,对推导的结 果也会存在一定偏差。

2.3 我国七大水系沉积物中林丹浓度与基准值的比较

在中国知网(CNKI)及 web of science 上按照"林 丹(lindane)"、"沉积物(sediment)"、"中国(China)"关 键词筛选出 2000—2017 年公开发表的关于我国七 大水系沉积物中林丹残留浓度的中英文文献 30 篇, 涉及长江水系<sup>[39-45]</sup>、黄河水系<sup>[46-52]</sup>、珠江水系<sup>[53-57]</sup>、海 河水系<sup>[58-60]</sup>、淮河水系<sup>[61-65]</sup>、松花江水系<sup>[66]</sup>、辽河水 系<sup>[67]</sup>,得到沉积物中林丹残留浓度数据 223 个,绘制 浓度分布情况如图 2 所示,将所得浓度数据与本文 推导基准值进行比较,得到表 3。

总体来说,大部分水体沉积物中 γ-HCH 的浓度





Fig. 2 Distributions of lindane in the sediments of seven river systems, China

# 表 2 γ-HCH 沉积物质量基准推导值比较

Table 2 Comparison of	f developed	l sediment quality	criteria for	ς <b>γ-</b> ΗCE
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基准值推导机构 Offices or departments for SQC development	本文推导 Developed SQ	}基准值 C in this study	美国 <sup>[33]</sup> USEPA <sup>[33]</sup>	加拿 CCM	加拿大 <sup>[38]</sup> CCME <sup>[38]</sup>		澳大利亚 <sup>[26]</sup> ANZECC <sup>[26]</sup>	
基准值/(µg·g <sup>-1</sup> dry weight)	CCC <sub>sed</sub>	CMC <sub>sed</sub>	ESB	ISQG	PEL	ISQG-Low	ISQG-High	
$(\mu g \cdot g^{-1} dry weight)$	0.00106	0.00530	0.0037	0.00094	0.00138	0.00032	0.001	

注:ESB 代表相平衡沉积物基准;ISQG 代表临时沉积物质量基准值;PEL 代表可能效应水平。

Note: ESB = equilibrium partitioning sediment benchmark; ISQG = interim sediment quality guideline; PEL = probably effect level.

#### 表 3 我国七大水系中林丹浓度与本文推导基准值比较

Table 3 Comparison between the developed sediment quality criteria and the concentrations

				5			
したまで	数据点总数	≤CCC <sub>sed</sub>		$\text{CCC}_{\text{sed}} \sim \text{CMC}_{\text{sed}}$		$\geq$ CMC <sub>sed</sub>	
七人水杀 Source mixed sustains	Total number	数据点个数	比例/%	数据点个数	比例/%	数据点个数	比例/%
Seven fiver systems	of data	Number of data	Ratio/%	Number of data	Ratio/%	Number of data	Ratio/%
长江水系	71	59	017	12	16.0	1	1.4
The Yangtze River system	/1	58	81./	12	16.9	1	1,4
黄河水系	62	51	81.0	11	17.45	1	1.6
The Yellow River system	63						
珠江水系	13	8	61.5	4	30.8	1	77
The Pearl River system	15	8	01.5	7	50.0	1	/./
海河水系	19	9	47.4	1	5.3	9	47.4
The Haihe River system	17						
淮河水系	35	13	37.1	21	60.0	1	2.9
The Huaihe River system	55			21			
松花江水系	10	10	100.0	0	0.0	0	0.0
The Songhua River system	10						
辽河水系	12	3	25.0	6	50.0	3	25.0
The Liaohe River system	12			0			25.0
全国范围	223	152	68.2	55	24.7	16	72
Nationwide	223	1.52	00.2			10	1.4

of lindane in seven river systems

水平低于本文推导基准值CCC<sub>sed</sub>,从该角度看,大部 分水系生态风险较低。但目前海河水系 47.4% 的采 样点 γ-HCH 残留浓度高于CMC<sub>sed</sub>,因此,须加强海 河水系治理工作。但由于搜集到的海河水系数据资 料采样点大部分集中于污染较重的排污河,导致可 能高估海河水系生态风险。

综上所述:本文收集了大量沉积物中  $\gamma$ -HCH 的 急慢性毒性数据,通过沉积物-物种敏感度分布曲线 拟合,从而推导  $\gamma$ -HCH 急慢性基准值 CCC<sub>sed</sub>、 CMC<sub>sed</sub>基准阈值。针对急性毒性数据,S-Logistic 模 型拟合效果佳,得到急性基准值 CMC<sub>sed</sub> = 0.00530  $\mu g \cdot g^{-1}$ ;对于慢性毒性数据,S-Gompertz 模型拟合效 果佳,得到慢性基准值 CCC<sub>sed</sub> = 0.00106  $\mu g \cdot g^{-1}$ 。总 体来说,本文推导的基准值和国际上其他国家现行 的基准域值相差在一个数量级范围内,相对是可以 比较的,因此,SSD 是一个比较可靠的方法。我国 大部分水体沉积物中  $\gamma$ -HCH 的残留浓度均低于其 沉积物基准低值 CCC<sub>sed</sub>,说明其风险较低。但是,在 个别流域如海河存在一定风险,需要引起足够的 重视。

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